

RECEIVED  
CENTRAL FAX CENTER

AUG 10 2006

Listing of Claims:

This listing of the claims will replace all prior versions, listings, of claims in the application:

1. (original) An electrochemical device component, comprising:  
an active metal electrode having a first surface and a second surface;  
a protective composite separator on the first surface of the electrode, the composite comprising,  
a first material layer in contact with the electrode, the first material being ionically conductive and chemically compatible with the active metal; and  
a second material layer in contact with the first layer, the second material being substantially impervious, ionically conductive and chemically compatible with the first material;  
wherein the ionic conductivity of the composite is at least  $10^{-7}$  S/cm.
2. (original) The component of claim 1, further comprising a current collector on the second surface of the active metal electrode.
3. (original) The component of claim 1, wherein the second material layer is the sole electrolyte in a subsequently formed battery cell.
4. (original) The component of claim 1, wherein the structure further comprises an electrolyte.
5. (original) The component of claim 1, wherein the ionic conductivity of the second material layer is at least  $10^{-7}$  S/cm
6. (original) The component of claim 1, wherein the ionic conductivity of the second material layer is between about  $10^{-6}$  S/cm and  $10^{-3}$  S/cm.
7. (original) The component of claim 1, wherein the ionic conductivity of the second material layer is about  $10^{-3}$  S/cm.
8. (original) The component of claim 1, wherein the thickness of the first material layer is about 0.1 to 5 microns.

9. (original) The component of claim 1, wherein the thickness of the first material layer is about 0.2 to 1 micron.
10. (original) The component of claim 1, wherein the thickness of the first material layer is about 0.25 micron.
11. (original) The component of claim 1, wherein the thickness of the second material layer is about 0.1 to 1000 microns.
12. (original) The component of claim 1, wherein the ionic conductivity of the second material layer is about  $10^{-7}$  S/cm and the thickness of the second material layer is about 0.25 to 1 micron.
13. (original) The component of claim 1, wherein the ionic conductivity of the second material layer is between about  $10^{-4}$  about  $10^{-3}$  S/cm and the thickness of the second material layer is about 10 to 500 microns.
14. (original) The component of claim 13, wherein the thickness of the second material layer is about 10 to 100 microns.
15. (original) The component of claim 1, wherein the active metal of the electrode is selected from the group consisting of alkali metals, alkaline earth metals, and transition metals.
16. (original) The component of claim 1, wherein the active metal of the electrode is an alkali metal.
17. (original) The component of claim 1, wherein the active metal of the electrode is lithium or a lithium alloy.
18. (currently amended) The component of claim 1, wherein the first layer comprises a material selected from the group consisting of a composite reaction product of active metal with  $C_3N_4$ , active metal nitrides, active metal phosphides, and active metal halides, and active metal phosphorus oxynitride glass.
19. (currently amended) The component of claim 1, wherein the first layer comprises a material selected from the group consisting of a composite reaction product of Li with  $C_3N_4$ ,  $Li_3N$ ,  $Li_3P$  and  $LiI$ ,  $LiBr$ ,  $LiCl$ ,  $LiF$ , and  $LiPON$ .
20. The component of claim 1, wherein the second layer comprises a material selected from the group consisting of phosphorus-based glass, oxide-based glass, sulphur-based glass,

oxide/sulfide based glass, selenide based glass, gallium based glass, germanium based glass, glass-ceramic active metal ion conductors, sodium beta-alumina and lithium beta-alumina.

21. (original) The component of claim 1, wherein the second layer comprises a material selected from the group consisting of LiPON,  $\text{Li}_3\text{PO}_4$ ,  $\text{Li}_2\text{S} \cdot \text{SiS}_2$ ,  $\text{Li}_2\text{S} \cdot \text{GeS}_2$ ,  $\text{Ga}_2\text{S}_3$ , LISICON, NASICON, sodium and lithium beta-alumina.
22. (original) The component of claim 1, wherein the first layer material comprises a complex of an active metal halide and a polymer.
23. (original) The component of claim 23, wherein the polymer is selected from the group consisting of poly(2-vinylpyridine), polyethylene and tetraalkylammonium.
24. (original) The component of claim 23, wherein the complex is  $\text{LiI}$ -poly(2-vinylpyridine).
25. (currently amended) The component claim 1, wherein the first layer comprises a composite reaction product of Li with  $\text{C}_3\text{N}$   $\text{Li}_3\text{N}$ .
26. (original) The component claim 1, wherein the first layer comprises  $\text{Li}_3\text{P}$ .
27. (original) The component claim 1, wherein the first layer comprises LiPON.
28. (original) The component of claim 1, wherein the second layer is an ion conductive glass-ceramic having the following composition:

Composition	mol %
$\text{P}_2\text{O}_5$	26-55%
$\text{SiO}_2$	0-15%
$\text{GeO}_2 + \text{TiO}_2$	25-50%
in which $\text{GeO}_2$	0--50%
$\text{TiO}_2$	0--50%
$\text{ZrO}_2$	0-10%
$\text{M}_2\text{O}_3$	$0 < 10\%$
$\text{Al}_2\text{O}_3$	0-15%
$\text{Ga}_2\text{O}_3$	0-15%
$\text{Li}_2\text{O}$	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga.

29. (original) The component claim 28, wherein the first layer comprises  $\text{Li}_3\text{P}$ .

30. (currently amended) The component claim 28, wherein the first layer comprises a composite reaction product of Li with  $\text{C}_3\text{N}$   $\text{Li}_3\text{N}$ .

31. (original) The component claim 28, wherein the first layer comprises  $\text{LiI} \cdot \text{poly-2-vinylpyridine}$ .

32. (original) The component claim 28, wherein the first layer comprises  $\text{LiPON}$ .

33. (original) The component of claim 1, wherein the second layer is a flexible membrane comprising particles of an ion conductive glass-ceramic having the following composition:

Composition	mol %
$\text{P}_2\text{O}_5$	26-55%
$\text{SiO}_2$	0-15%
$\text{GeO}_2 + \text{TiO}_2$	25-50%
in which $\text{GeO}_2$	0-50%
$\text{TiO}_2$	0-50%
$\text{ZrO}_2$	0-10%
$\text{M}_2\text{O}_3$	$0 < 10\%$
$\text{Al}_2\text{O}_3$	0-15%
$\text{Ga}_2\text{O}_3$	0-15%
$\text{Li}_2\text{O}$	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga in a solid polymer electrolyte.

34. (original) A protective composite battery separator, comprising:

an ionically conductive first material layer or precursor that is chemically compatible with an active metal and air; and

a second material layer in contact with the first layer, the second material being substantially impervious, ionically conductive and chemically compatible with the first material;

wherein the ionic conductivity of the composite is at least  $10^{-7}$  S/cm.

35. (original) The separator of claim 34, wherein the ionic conductivity of the second material layer is about  $10^{-4}$  to  $10^{-3}$  S/cm.

36. (original) The separator of claim 34, wherein the thickness of the first material layer is about 0.1 to 5 microns.

37. (original) The separator of claim 34, wherein the thickness of the second material layer is about 0.1 to 1000 microns.

38. (original) The separator of claim 34, wherein the ionic conductivity of the second material layer is between about  $10^{-4}$  about  $10^{-3}$  S/cm and the thickness of the second material layer is about 10 to 500 microns.

39. (original) The separator of claim 38, wherein the thickness of the second material layer is about 10 to 100 microns

40. (original) The separator of claim 34, wherein the first layer comprises LiPON.

41. (original) The separator of claim 34, wherein the first layer comprises a metal nitride first layer material precursor.

42. (original) The separator of claim 41, wherein the first layer comprises  $\text{Cu}_3\text{N}$ .

43. (original) The separator of claim 34, wherein the second layer comprises a material selected from the group consisting of substantially impervious phosphorus-based glass, oxide-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium based glass, and glass-ceramic active metal ion conductors, sodium beta-alumina and lithium beta-alumina.

44. (original) The separator of claim 34, wherein the second layer comprises a material selected from the group consisting of LiPON,  $\text{Li}_3\text{PO}_4$ ,  $\text{Li}_2\text{S}$ ,  $\text{SiS}_2$ ,  $\text{Li}_2\text{S} \cdot \text{GeS}_2$ ,  $\text{Ga}_2\text{S}_3$ , LISICON, NASICON, sodium and lithium beta-alumina.

45. (original) The separator of claim 34, wherein the second layer is an ion conductive glass-ceramic having the following composition:

Composition	mol %
P <sub>2</sub> O <sub>5</sub>	26-55%
SiO <sub>2</sub>	0-15%
GeO <sub>2</sub> + TiO <sub>2</sub>	25-50%
in which GeO <sub>2</sub>	0-50%
TiO <sub>2</sub>	0-50%
ZrO <sub>2</sub>	0-10%
M <sub>2</sub> O <sub>3</sub>	0 < 10%
Al <sub>2</sub> O <sub>3</sub>	0-15%
Ga <sub>2</sub> O <sub>3</sub>	0-15%
Li <sub>2</sub> O	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga.

46. (original) The separator of claim 34, wherein the second layer is a flexible membrane comprising particles of an ion conductive glass-ceramic having the following composition:

Composition	mol %
P <sub>2</sub> O <sub>5</sub>	26-55%
SiO <sub>2</sub>	0-15%
GeO <sub>2</sub> + TiO <sub>2</sub>	25-50%
in which GeO <sub>2</sub>	0-50%
TiO <sub>2</sub>	0-50%

ZrO <sub>2</sub>	0-10%
M <sub>2</sub> O <sub>3</sub>	0 < 10%
Al <sub>2</sub> O <sub>3</sub>	0-15%
Ga <sub>2</sub> O <sub>3</sub>	0-15%
Li <sub>2</sub> O	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga in a solid polymer electrolyte.

47. (original) A method of fabricating an electrochemical device component, the method comprising:

forming a laminate of

an active metal anode,

a first material layer adjacent to the active metal anode that is ionically conductive and chemically compatible with an active metal, and

a second material layer adjacent to the first layer that is substantially impervious, ionically conductive and chemically compatible with the first material;

wherein the ionic conductivity of the composite is at least  $10^{-7}$  S/cm.

48. (original) The method of claim 47, wherein the forming of the laminate comprises:

(a) providing a substrate of one of an active metal anode and a layer of the second material;

(b) forming on the substrate a layer of the first material or a chemical precursor for the first material; and

(c) applying the other of the active metal anode and the layer of the second material from (a) to the layer of the first material or precursor on the substrate.

49. (original) The method of claim 48, wherein the active metal of the anode is lithium or a lithium alloy.

50. (original) The method of claim 48, wherein the first material and precursors are selected from the group consisting of active metal nitrides, active metal phosphides, active metal halides, active metal phosphorus oxynitride glass, a complex of an active metal halide and a polymer, metal nitrides, red phosphorus, amines, phosphines, borazine ( $B_3N_3H_6$ ), triazine ( $C_3N_3H_3$ ) and halides.

51. (original) The method of claim 48, wherein the second material is selected from the group consisting of substantially impervious phosphorus-based glass, oxide-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium based glass, glass-ceramic active metal ion conductors, sodium beta-alumina and lithium beta-alumina.

52. (original) The method of claim 48, wherein the second layer is an ion conductive glass-ceramic having the following composition:

Composition	mol %
$P_2O_5$	26-55%
$SiO_2$	0-15%
$GeO_2 + TiO_2$	25-50%
in which $GeO_2$	0-50%
$TiO_2$	0-50%
$ZrO_2$	0-10%
$M_2O_3$	$0 < 10\%$
$Al_2O_3$	0-15%
$Ga_2O_3$	0-15%
$Li_2O$	3-25%

and containing a predominant crystalline phase composed of  $Li_{1+x}(M, Al, Ga)_x(Ge_{1-y}Ti_y)_{2-x}(PO_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $Li_{1+x+y}Q_xTi_{2-x}Si_yP_{3-y}O_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga.

53. (original) The method of claim 48, wherein the second layer is a flexible membrane comprising particles of an ion conductive glass-ceramic having the following composition:

Composition	mol %
P <sub>2</sub> O <sub>5</sub>	26-55%
SiO <sub>2</sub>	0-15%
GeO <sub>2</sub> + TiO <sub>2</sub>	25-50%
in which GeO <sub>2</sub>	0-50%
TiO <sub>2</sub>	0-50%
ZrO <sub>2</sub>	0-10%
M <sub>2</sub> O <sub>3</sub>	0 < 10%
Al <sub>2</sub> O <sub>3</sub>	0-15%
Ga <sub>2</sub> O <sub>3</sub>	0-15%
Li <sub>2</sub> O	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga in a solid polymer electrolyte.

54. (original) The method of claim 48, wherein the substrate is the second material layer, the first material or precursor layer is a first material layer selected from the group consisting of active metal nitrides, active metal phosphides, active metal halides, and the active metal anode is lithium deposited by evaporation.

55. (original) The method of claim 48, wherein the substrate is the second material layer, the first material or precursor layer is a precursor selected from the group consisting of metal nitrides, red phosphorus, amines, phosphines, borazine ( $\text{B}_3\text{N}_3\text{H}_6$ ), triazine ( $\text{C}_3\text{N}_3\text{H}_3$ ) and halides, and the active metal anode is lithium deposited by evaporation, whereby the precursor is converted to its lithiated first layer material analog by reaction with lithium during the evaporation deposition.

56. (original) The method of claim 48, wherein the substrate is the second material layer, the first material or precursor layer is LiPON, and the active metal anode is lithium deposited by evaporation on a transient layer formed on the LiPON prior to lithium deposition, whereby the transient layer prevents reaction between vapor phase lithium and the LiPON.
57. (original) The method of claim 56, wherein the transient layer comprises a metal miscible in lithium.
58. (original) The method of claim 57, wherein the metal is Ag.
59. (original) The method of claim 48, wherein the substrate is the second material layer and the first material or precursor layer is a LiI-poly(2-vinylpyridine) complex formed by application of a poly(2-vinylpyridine) to the second material layer, followed by application of iodine to the poly(2-vinylpyridine), followed by application of lithium as the active metal anode, whereby the LiI-poly(2-vinylpyridine) complex is formed.
60. (original) The method of claim 59, wherein the active metal anode is applied by evaporation of lithium.
61. (original) The method of claim 59, wherein the active metal anode is applied as a lithium foil.
62. (original) A battery cell, comprising:  
an active metal negative electrode having a first surface and a second surface;  
a composite separator on the first surface of the electrode, the composite comprising,  
a first material layer in contact with the electrode that is ionically conductive and chemically compatible with an active metal; and  
a second material layer in contact with the first layer, the second material being, substantially impervious, ionically conductive and chemically compatible with the first material,  
wherein the ionic conductivity of the composite is at least  $10^{-7}$  S/cm; and  
a positive electrode chosen from a sulfur-based positive electrode, a metal oxide based positive electrode, and a metal sulfide based positive electrode in contact with said second layer.
63. (original) The battery cell of claim 62, further comprising a polymer electrolyte between the two electrodes.

64. (original) The battery cell of claim 62, wherein the active metal of the negative electrode is lithium or a lithium alloy.
65. (original) The battery cell of claim 62, wherein the first layer comprises a material selected from the group consisting of active metal nitrides, active metal phosphides, and active metal halides, and active metal phosphorus oxynitride glass.
66. (original) The battery cell of claim 62, wherein the first layer comprises a material selected from the group consisting of  $\text{Li}_3\text{N}$ ,  $\text{Li}_3\text{P}$  and  $\text{LiI}$ ,  $\text{LiBr}$ ,  $\text{LiCl}$ ,  $\text{LiF}$ , and  $\text{LiPON}$ .
67. (original) The battery cell of claim 62, wherein the first layer material comprises a complex of an active metal halide and a polymer.
68. (original) The battery cell of claim 67, wherein the complex is  $\text{LiI}$ -poly(2-vinylpyridine).
69. (original) The battery cell of claim 62, wherein the second layer comprises a material selected from the group consisting of phosphorus-based glass, oxide-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium based glass, glass-ceramic active metal ion conductors, sodium beta-alumina and lithium beta-alumina.
70. (original) The battery cell of claim 62, wherein the second layer is an ion conductive glass-ceramic having the following composition:

Composition	mol %
$\text{P}_2\text{O}_5$	26-55%
$\text{SiO}_2$	0-15%
$\text{GeO}_2 + \text{TiO}_2$	25-50%
in which $\text{GeO}_2$	0-50%
$\text{TiO}_2$	0-50%
$\text{ZrO}_2$	0-10%
$\text{M}_2\text{O}_3$	$0 < 10\%$
$\text{Al}_2\text{O}_3$	0-15%
$\text{Ga}_2\text{O}_3$	0-15%
$\text{Li}_2\text{O}$	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga.

71. (original) The battery cell of claim 62, wherein the second layer is a flexible membrane comprising particles of an ion conductive glass-ceramic having the following composition:

Composition	mol %
$\text{P}_2\text{O}_5$	26-55%
$\text{SiO}_2$	0-15%
$\text{GeO}_2 + \text{TiO}_2$	25-50%
in which $\text{GeO}_2$	0-50%
$\text{TiO}_2$	0-50%
$\text{ZrO}_2$	0-10%
$\text{M}_2\text{O}_3$	$0 < 10\%$
$\text{Al}_2\text{O}_3$	0-15%
$\text{Ga}_2\text{O}_3$	0-15%
$\text{Li}_2\text{O}$	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_{2-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga in a solid polymer electrolyte.

72. (original) A method of making a battery cell, comprising:

forming a laminate of

an active metal electrode,

a first material layer in contact with the electrode, the first material being ionically conductive and chemically compatible with the active metal, and

a second material layer in contact with the first layer, the second material being substantially impervious, ionically conductive and chemically compatible with the first material,

wherein the ionic conductivity of the composite is at least  $10^{-7}$  S/cm; and

applying a positive electrode chosen from a sulfur-based positive electrode, a metal oxide based positive electrode, and a metal sulfide based positive electrode in contact with said second material layer.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**